



Monthly Return on Movement of Open-ended Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules

For the month ended (dd/mm/yyyy) : 30/09/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme Haitong CSI300 Index ETF

Date Submitted 05/10/2016

Stock Code: 82811/2811

	No. of Units
Balance at close of preceding month: 31/08/2016	<u>20,000,000</u>
<i>Increase</i>	
Further Issues:	<u>NIL</u>
EGM approval date (if applicable): <u>N/A</u> (dd/mm/yyyy)	
Others (please specify):	<u>NIL</u>
EGM approval date (if applicable): <u>N/A</u> (dd/mm/yyyy)	
<i>(Decrease)</i>	
Repurchase/cancellation:	<u>(1,000,000)</u>
EGM approval date (if applicable): <u>N/A</u> (dd/mm/yyyy)	
Others (please specify):	<u>NIL</u>
EGM approval date (if applicable): <u>N/A</u> (dd/mm/yyyy)	
Balance at close of the month: 30/09/2016	<u>19,000,000</u>

Remarks:

Submitted by: Camellia Lau
Title: Senior Operations Manager

RESTRICTED